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| TRANSMITTAL LETTER                                                  |                             |                          | Case No. 11108/3       |
|---------------------------------------------------------------------|-----------------------------|--------------------------|------------------------|
| Serial No.<br>09/872,178                                            | Filing Date<br>June 1, 2001 | Examiner<br>Not Assigned | Group Art Unit<br>1754 |
| Inventor(s)<br>JAMES A. TRANQUILLA                                  |                             |                          |                        |
| Title of Invention<br>A METHOD OF REDUCING CARBON LEVELS IN FLY ASH |                             |                          |                        |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Response to Notice to File Corrected Application Papers, Copy of Notice to File Corrected Application Papers, 1 Sheet of Drawing (Figure 1), and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

|                                           | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra |
|-------------------------------------------|----------------------------------|-------|---------------------------------|---------------|
| Total                                     |                                  | Minus |                                 |               |
| Indep.                                    |                                  | Minus |                                 |               |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               |

| Small Entity    |           | or | Other Than Small Entity |           |
|-----------------|-----------|----|-------------------------|-----------|
| Rate            | Add'l Fee |    | Rate                    | Add'l Fee |
| x \$9=          |           |    | x \$18=                 |           |
| x 40=           |           |    | x \$80=                 |           |
| +\$135=         |           |    | +\$270=                 |           |
| Total add'l fee | \$        |    | Total add'l fee         | \$        |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Richard K. Clark  
Registration No. 40,560  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 10/02/01

Date: 10/02/01

Signature:



I certify this correspondence being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to: Commissioner for Patents Washington, D.C. 20231on

10/02/01

Date of Deposit

Richard K. Clark

Name of applicant, assignee or  
Registered Representative

*Richard K. Clark*

Signature

Case No. 11108/3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

JAMES A. TRANQUILLA

Serial No.: 09/872,178

Examiner Not Assigned  
Group Art Unit 1754

Filed: June 1, 2001

For: A METHOD OF REDUCING  
CARBON LEVELS IN FLY ASH

**RESPONSE TO NOTICE TO FILE CORRECTED  
APPLICATION PAPERS**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with the Notice to File Corrected Application Papers dated August 2, 2001, Applicant submits one formal drawing sheet showing Figure 1. This submission provides the substituted drawings request by the Notice. Applicant has enclosed a copy of the Notice to File Corrected Application Papers.

Respectfully submitted,

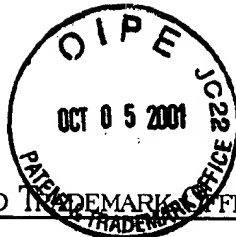
*Richard K. Clark*

Richard K. Clark  
Registration No. 40,560  
Attorney for Applicant(s)

Dated: 10/02/01

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Ext  
4256

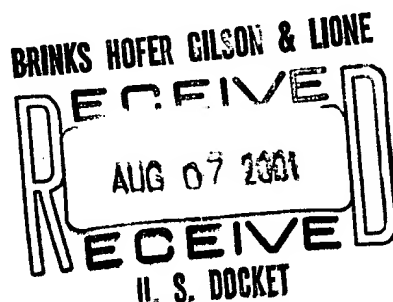


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/872,178         | 06/01/2001          | James M. Tranquilla   | 11108/3                |

BRINKS HOFER GILSON & LIONE  
P. O. Box 10395  
Chicago, IL 60610



CONFIRMATION NO. 2924

FORMALITIES LETTER



\*OC000000006375654\*

Date Mailed: 08/02/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

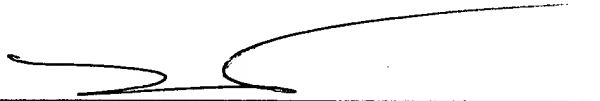
*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE